

Application Form for Corporate Customer – Legal Entity ¹ –

Language²: EN CH

1. Account Type:

Current Account

Currency:

EUR USD CNY

Deposit Account

Currency:

EUR USD CNY

2. Legal Form and Denomination (to be completed using capital letters only)

Company Name	
Legal Form	
Nationality	
Sector of Activity	
Description of the activity	
NACE code	
Enterprise nr / VAT number	
Registered Office address	StreetNr.....Box..... Postcode.....City.....Country..... Telephone.....Mobile..... E-mail.....Website..... Others.....

¹ Following the **Belgian Law**, legal entity are: the 'NV/SA', the 'BVBA/SPRL', the 'SPRUL/EBVBA', the 'VOF/SNC', the 'GCV/SCS', the 'CVBA/SCRL', the 'CVOA/SCRI', the 'VSO/SFS', the 'Comm.VA/SCA', the agricultural company, the civil company in commercial form, the 'ESV/GIE', the 'EESV/GEIE', the 'VZW/ASBL'.

² The account Application form is provided in English and Chinese language. The Bank requires the English version to be sign as mandatory. For record keeping purposes, the bank keep an original copy duly signed of the present form as well as all the applicable attachments. In the event of discrepancies between the Chinese and English the English version shall prevail. The communication between the Bank and the customer(s) takes place in the English and Chinese language.

Place of Business (Principal business place address)	StreetNr.....Box..... Postcode.....City.....Country..... Telephone.....Mobile..... E-mail..... Others.....
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2. Legal Representative (s) (to be completed using capital letters only)³

	Legal Representative 1 <input type="checkbox"/> Mr. <input type="checkbox"/> Mrs. <input type="checkbox"/> Miss	Legal Representative 2 <input type="checkbox"/> Mr. <input type="checkbox"/> Mrs. <input type="checkbox"/> Miss
Last Name		
First name		
Civil Status	<input type="checkbox"/> Single <input type="checkbox"/> Married <input type="checkbox"/> Widowed <input type="checkbox"/> Divorced <input type="checkbox"/> Separated <input type="checkbox"/> Cohabiting Last name and First Name spouse:	<input type="checkbox"/> Single <input type="checkbox"/> Married <input type="checkbox"/> Widowed <input type="checkbox"/> Divorced <input type="checkbox"/> Separated <input type="checkbox"/> Cohabiting Last name and First Name spouse:
Function within the legal entity	<input type="checkbox"/> Administrator <input type="checkbox"/> President <input type="checkbox"/> Shareholder <input type="checkbox"/> Other: please specify:	<input type="checkbox"/> Administrator <input type="checkbox"/> President <input type="checkbox"/> Shareholder <input type="checkbox"/> Other: please specify:
Legal address	Street..... Nr.....Postcode.....Box..... City.....	Street..... Nr.....Postcode.....Box..... City.....
Private telephone / Mobile		
Office telephone		
Fax		

³ Any revocation of mandate must be addressed to ICBC (EUROPE) SA Brussels branch by post.

E-mail		
Date and place of birth, Nationality	Date of birth..... Place of birth..... Nationality.....	Date of birth..... Place of birth..... Nationality.....
ID document references	ID doc. Type : <input type="checkbox"/> BE ID Card <input type="checkbox"/> EU country ID Card <input type="checkbox"/> Passport <input type="checkbox"/> Others If you checked Others, please specify: Expiration date:	ID doc. Type : <input type="checkbox"/> BE ID Card <input type="checkbox"/> EU country ID Card <input type="checkbox"/> Passport <input type="checkbox"/> Others If you checked Others, please specify: Expiration date:
National Nr	Belgian National Nr: Other National Nr:Country.....	Belgian National Nr: Other National Nr:Country.....
Profession s / Activity	<input type="checkbox"/> Employee <input type="checkbox"/> Worker <input type="checkbox"/> Executive <input type="checkbox"/> Independent <input type="checkbox"/> Liberal Profession <input type="checkbox"/> Official <input type="checkbox"/> Unemployed <input type="checkbox"/> Student <input type="checkbox"/> Retired <input type="checkbox"/> Other <input type="checkbox"/> Housewife If you marked "Other", please specify:	<input type="checkbox"/> Employee <input type="checkbox"/> Worker <input type="checkbox"/> Executive <input type="checkbox"/> Independent <input type="checkbox"/> Liberal Profession <input type="checkbox"/> Official <input type="checkbox"/> Unemployed <input type="checkbox"/> Student <input type="checkbox"/> Retired <input type="checkbox"/> Other <input type="checkbox"/> Housewife If you marked "Other", please specify:

3. Power of attorney – if applicable –

Please refer to Attachment E

4. Fax instruction agreement:
 I, the undersigned, agree to the Fax Instruction Agreement under the following conditions

- The Bank and the Customer agree that the transactions orders will be executed
 - From any fax number

- From the fax numberonly
- The Bank and the Client expressly agree to waive the art. 1341 of the Civil Code and decide to give to messages received by fax the fully conclusive of a writing, even beyond 375,00 EUR (or the correspondent amount in a foreign currency)
- The Bank and the customer agree therefore that each document as it is received by fax demonstrate the orders passed by the client
- The customer recognizes himself as validly duty-bounded by the reproduction of the signature of the sender as it is reported on the document received from the fax system of the Bank
- General Terms and Conditions applies in their entirety
- This agreement shall enter into force on the date of signature and will remain valid up to and including the third business day following notification of its termination by the customer.
- The present agreement is valid for all accounts untitled to the customer

5. Banking Statement:

To be send by standard mail⁴ monthly To be collected at the Bank counter monthly

6. Politically exposed persons (to be completed using capital letters only)

Is there among the shareholders, the active or not active of the legal entity, a person (s) who does/did exercise a political mandate or a public office on a regional level, national or international level?⁵

No Yes

If Yes, please specify:

Name and first name of the person	
Exact title of the mandate or function	
Description of the mandate/function	
Date of the beginning of the function	
Date of the end of the function	

7. Ultimate Beneficial Owners declaration (to be completed using capital letters only)

The Act of 11 January 1993 (as amended by the Act of 18 January 2010) on the prevention of the use of the financial system for money laundering and terrorist financing requires banks to identify the ultimate beneficial owners. Beneficial owners, as defined

⁴ Either to the legal address or to the correspondence address if specified;

⁵ As a Head of State, Minister, Member of Parliament, President of a political party represented within a government, senior official of the state (judicial power or executive – including the army), manager of public undertaking of national importance, high political leader or senior official for international or supranational organizations, such as the European Union, North Atlantic Treaty Organization or United Organization.

by the Act, means the natural person(s) 'on whose behalf or in whose favor a transaction is being conducted or a business relationship established, or who ultimately own(s) or control(s) the customer'⁶.

The Legal Representative(s) before identified declare that on this (day)...../ (month)..... / (year)..... that the economical entitled parties of the legal entity are:

Name/First Name	Date of birth	Place of birth	Address ⁷	Function within the Legal Entity	% of shares

In case the ultimate beneficial owner is a stock listed company:

Name of the Company	Address of registered office	Stock exchange quotation (if applicable)

For each entitled party – individual –, **please join a copy of both sides and black and white of the identity papers.** If the identity papers do not mention the address, please join a copy of another document on which the residence is clearly mentioned (proof of residence, tax letter, original “vignette” of mutual insurance Company, gas/water/electricity/phone bill.....).

The legal representatives (s) here above identified commit himself (themselves) irrevocably to notify ICBC (EUROPE) S.A. Brussels Branch (hereafter the Bank), in writing and without any delay, any modification in the list of economic beneficial owners of this legal entity and to give a copy of the identity papers to identify the new economic beneficial owner (s).

⁶ For a full description of UBO, please refer to the attachment n° B;

⁷ Please specify the complete address: street, nr, box, postal code, city, country

The Bank reserves the right to end without allowance and without notice any relation with legal entity if the Bank was not informed of a modification in the list of economic beneficial owner (s).

8. Declarations and Signatures

8.1 Exemption from Belgian withholding tax

According to the provisions set by the Belgian Tax on incomes Code, 1992, non-resident customers wishing to obtain the exemption from the Belgian withholding tax are required to submit the declaration form provided by the Bank⁸

8.2 Declaration related to the American taxation

Signature on the applicable form as required by IRS permits ICBC (EUROPE) S.A. Brussels Branch to assure American corporations, that the holder or economic beneficial owner is not an American entity, a U.S. resident, or a person subject to any possible recovery of favorable treatment relating to withholding tax on dividends from American origin.

The undersigned hereby declare (s), on behalf of the legal entity, that the undersigned is aware that failure on the part of the parties economically entitled to the account to sign the ad hoc form in due and proper form manner will prevent any possible recovery of American advance levy on income derived from securities withheld at source on dividends from American securities and interest income.

8.3 General declaration

The undersigned furthermore certifies/ certify:

- That the assets to be deposited in the account arise from a legal activity and that the above-mentioned accounts shall not be used for money laundering;
- That the information appearing in the present document is complete, correct and truthful
- To have received and read the **General terms and conditions** which he (they) will respect and accept in all their clauses
- To assume the responsibility for all the risk related to the orders which will be transmitted to ICBC (EUROPE) S.A. Brussels Branch, be understood that ICBC (EUROPE) S.A. Brussels Branch could not be held responsible for the investment decisions and the financial consequences of the orders
- To act in name of the legal entity, and if not, to declare to ICBC (EUROPE) S.A. Brussels Branch of third party on behalf of which he (they) is (are) acting
- To commit himself (themselves) to announce immediately to one of the provided information that this declaration can be transmitted to any competent authority.
- To agree, by the following signature, to the fax agreement under the conditions of point 4
- To acknowledge that the Bank is operating in the Belgium as a Branch of ICBC (Europe) S.A. which is incorporated in Luxembourg and for this reason falls into the scope of the Deposit Guarantee Scheme of Luxembourg (hereinafter referred to as “DGS”), under which the Customer(s)’ s funds deposited with the Bank are guaranteed for the moment up to a maximum amount of EUR 100,000. A document

⁸ Please refer to attachment C.1, C.2, C.3.

- describing the main features and the actual status of this protection system is available on the website www.agdl.lu.
- To respect the laws of Belgium and accept the Bank's standard charges and fees.
 - To agree on the fact that the bank transfer the transaction data to ICBC data Centre in Shanghai.

8.4 Signature(s)

Date and signature of Legal Representative 1	Date and signature of Legal Representative 2
<i>Date:</i>	<i>Date:</i>
<i>Signature:</i> <i>(First Name, Last name)</i>	<i>Signature:</i> <i>(First Name, Last name)</i>
<i>Read and approved</i>	<i>Read and approved</i>
<i>Function</i>	<i>Function</i>

List of Attachments

- A: Disclaimer on CNY currency risk**
- B: UBO informative notice**
- C (1, 2, and 3): Exemption for Belgian withholding tax forms**
- D: General Terms and Conditions**
- E: Power of Attorney –POA – (If applicable)**

9. Reserved to ICBC (EUROPE) SA Brussels Branch⁹

PART A: To be completed by the Account Officer

1. Customer banking references

1.1 Customer ID number:

1.2 Customer banking Account(s):

Account (1)

Type of account: current account deposit account [.....]

Account number:

IBAN.....

Currency: EUR USD CNY

Date of account opening:

Account (2)

Type of account: current account deposit account [.....]

Account number:

IBAN.....

Currency: EUR USD CNY

Date of account opening:

Account (3)

Type of account: current account deposit account [.....]

Account number:

IBAN.....

Currency: EUR USD CNY

Date of account opening:

1.3 Date of account opening:

PART B: Documents Check List¹⁰

- Black List and PEP list screening**
- ID Documents**
- Registration documents (Commercial registration, Business License, TVA No)**
- Proof of the address**
- Terms and Conditions**
- Internet Search**

⁹ To be completed in capital letter only.

¹⁰ Except for General terms and conditions, a printout or evidence of all the listed documents must be kept in the file

PART C: Compliance Risk Assessment

- Class I – Prohibited Individuals or entities
- Class II – High Risk Customer
- Class III – Special Attention Customer
- Class IV – Normal Customer

Read and Approved

Account Officer:

Name

Signature:

PART D: Customer Acceptance Policy

Head of Marketing Department ¹¹

Name:

Signature:

Date:

Compliance Officer Approval¹²:

Name:

Signature:

Date:

General Management Approval¹³:

Name and Function:

Signature:

Date:

Name and Function:

Signature:

Date:

¹¹ mandatory for all compliance risk classes customer

¹² mandatory only for Special Attention customer and High Risk Customer

¹³ mandatory only for High Risk customer